

# DECLARATION FOR PATENT APPLICATION

117054US

1/2

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **"ACOUSTIC EFFECT APPARATUS AND METHOD AND PROGRAM RECORDED MEDIUM THEREFOR"** the specification of which: (check one)

[XX] is attached hereto. ☒ was filed on 21/12/1999 as United States Patent Application Serial No. or PCT International Application Number PCT/JP99/07180, and was amended on 19 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 CFR § 1.56(a).

Prior Foreign Application(s): I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate listed below, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

			Priority Claimed
<u>366374/98</u>	<u>Japan</u>	<u>24/12/98</u>	<input checked="" type="checkbox"/> [ ]
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No
<u>                    </u>	<u>                    </u>	<u>                    </u>	[ ] [ ]
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No
<u>                    </u>	<u>                    </u>	<u>                    </u>	[ ] [ ]
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
<u>                                    </u>	<u>                                    </u>
<u>                                    </u>	<u>                                    </u>

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by 35 U.S.C. § 112, first paragraph, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(U.S. Application Serial No.)	(U.S. Filing Date)	(Status--patented, pending, abandoned)
<u>                                    </u>	<u>                                    </u>	<u>                                    </u>
(U.S. Application Serial No.)	(U.S. Filing Date)	(Status--patented, pending, abandoned)

I hereby appoint George Vande Sande, Registration No. 17,276; Burton A. Amernick, Registration No. 24,852; Stanley B. Green, Registration No. 24,351; Richard Wiener, Registration No. 18,741; Townsend M. Belser, Jr., Registration No. 22,956; Morris Liss, Registration No. 24,510; Martin Abramson, Registration No. 25,787; George R. Pettit, Registration No. 27,369; Elzbieta Chlopecka, Registration No. 32,767; Eric J. Franklin, Registration No. 37,134; Jeffri A. Kaminski, Reg. No. 42,709; and William E. Curry, Registration No. P43,572, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Send Correspondence and Direct Telephone Calls to:

Morris Liss  
(202) 331-7111

Morris Liss  
Pollock, Vande Sande & Amernick, R.L.L.P.  
P.O. Box 19088  
Washington, D.C. 20036-3425 U.S.A.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: HARUHIKO MOTOHASHI  
Inventor's Signature Haruhiko Motohashi Date July 19, 2000  
Residence Address Nerima-ku, Tokyo, Japan JPX  
Citizenship Japan  
Post Office Address 35-24, Ooizumicho 4-chome, Nerima-ku, Tokyo 178-0062, Japan

☒ See next page for additional inventors

Page Two

Inventor's Signature Yoshihiko Mori Date July 19, 2000

Citizenship Japan

Inventor's Signature Yutaro Harashima Date July 19, 2000

Citizenship Japan

**Inventor's Signature** \_\_\_\_\_ **Date** \_\_\_\_\_

**Residence Address**

## Citizenship

**Post Office Address**

Full name of fifth joint inventor (if any):

**Inventor's Signature** \_\_\_\_\_ **Date** \_\_\_\_\_

Residence Address

## Citizenship

**Post Office Address**

Full name of sixth joint inventor (if any):

**Inventor's Signature** \_\_\_\_\_ **Date** \_\_\_\_\_

Residence Address

## Citizenship

**Post Office Address**

Full name of seventh joint inventor (if any):

**Inventor's Signature** \_\_\_\_\_ **Date** \_\_\_\_\_

Residence Address

## Citizenship

Post Office Address

Full name of eighth joint inventor (if any):

**Inventor's Signature** \_\_\_\_\_ **Date** \_\_\_\_\_

Residence Address

## Citizenship

Post Office Address

11 F 054 45

SMALL ENTITY DECLARATION

APPLICANT OR PATENTEE KORG INCORPORATED  
SERIAL NO. \_\_\_\_\_ ☐ PATENT NO. \_\_\_\_\_ ATTORNEY'S DOCKET NO. \_\_\_\_\_

(Check one of blocks 1 or 2.)

1. ☐ FILED OR ISSUED  
2. ☒ SUBMITTED HEREWITH  
FOR "ACOUSTIC EFFECT APPARATUS AND METHOD AND PROGRAM RECORDED MEDIUM THEREFOR"  
(Insert Title)

I(we) hereby declare that I(we) am(are) entitled to the benefit of small entity status with respect to the above-identified application or patent for purposes of paying reduced fees under 35 USC 41(a) & (b) to the U.S. Patent and Trademark Office.

☐ A. INDEPENDENT INVENTOR  
I(we) qualify as (an) independent inventor(s) as defined in 37 CFR 1.9(c).

☐ B. INDIVIDUAL NON-INVENTOR  
I would qualify as an independent inventor as defined in 37 CFR 1.9(c) if I had made the invention.

☒ C. SMALL BUSINESS CONCERN  
I am ☐ THE OWNER ☒ AN OFFICIAL of the small business concern identified below and am empowered to act on behalf of the concern. The concern qualifies under 37 CFR 1.9(d) and 13 CFR 121.3-18. Rights under contract or law have been conveyed to and remain with the concern and are exclusive unless a checkmark is placed here ☐. All other rights belong to small entities as defined in 37 CFR 1.9.

☐ D. NON-PROFIT ORGANIZATION  
I am an official empowered to act on behalf of the non-profit organization identified below. The organization qualifies under 37 CFR 1.9(e), sub-section: ☐ (1) ☐ (2) ☐ (3) ☐ (4). Rights under contract or law have been conveyed to and remain with the organization and are exclusive unless a checkmark is placed here ☐. All other rights belong to small entities as defined in 37 CFR 1.9.

I(we) acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I(we) declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

A. INDEPENDENT INVENTOR(S) B. INDIVIDUAL NON-INVENTOR(S)

Name \_\_\_\_\_ Signature \_\_\_\_\_ Date \_\_\_\_\_

Name \_\_\_\_\_ Signature \_\_\_\_\_ Date \_\_\_\_\_

Name \_\_\_\_\_ Signature \_\_\_\_\_ Date \_\_\_\_\_

C. BUSINESS CONCERN D. NON-PROFIT ORGANIZATION  
KORG INCORPORATED 15-12, Shimotakaido 1-chome, Suginami-ku, Tokyo 168-0073, Japan

Name of Concern or Organization \_\_\_\_\_ Address \_\_\_\_\_

By Sadao Fukushima Sadao Fukushima  
Name of Person Signing \_\_\_\_\_ Signature \_\_\_\_\_

President July 19, 2000  
Title \_\_\_\_\_ Date \_\_\_\_\_